

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Yoichi MORI et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2004_1936A
Filed December 3, 2004 :

METHOD AND APPARATUS FOR
TREATING EXHAUST GAS
[Corresponding to PCT/JP2004/004488
Filed March 30, 2004]

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____;
and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

ATTACHMENT "B"

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, or
 (2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
 the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
 b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstracts,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

U.S. Patent Application Publications No. 2001/0031228 & 2003/0049190 correspond to JP 11-319485; JP 2000-42367 and JP 2001-224924;

U.S. Patent Nos. 6,482,367 and 6,126,906 correspond to JP 2000-342931.

5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Yoichi MORI et al.

By



Michael S. Huppert
Registration No. 40,268
Attorney for Applicants

MSH/kjf
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
December 3, 2004

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: December 3, 2004

ATTY DOCKET NO.
2004_1936ASERIAL NO.
NEW

10/517063

APPLICANT
Yoichi MORI et al.FILING DATE
December 3, 2004

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/NN/	AA	2001/0031228	10/2001	Irie et al.			
/NN/	AB	2003/0049190	3/2003	Irie et al.			
/NN/	AC	6,482,367	11/2002	Imamura			
/NN/	AD	6,126,906	10/2000	Imamura			
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/NN/	AJ	01/76725	10/2001	WO			
	AK	01/78873	10/2001	WO			
	AL	11-319485	11/1999	JP			
	AM	2000-42367	2/2000	JP			
	AN	2001-224924	8/2001	JP			
	AO	9-85045	3/1997	JP			Abstract
	AP	11-333247	12/1999	JP			Abstract
/NN/	AQ	2000-342931	12/2000	JP			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	
----	--

EXAMINER /Ngoc Yen Nguyen/ (09/21/2008)

DATE CONSIDERED

09/21/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.